## DYNAMIC ANALYSES FOR DATA RACE DETECTION

Rohan Padhye and Jonathan Aldrich

17-355/17-665/17-819: Program Analysis

Based in large part on slides by John Erickson, Stephen Freund, Madan Musuvathi, Mike Bond, and Man Cao, used by permission

### **Announcement: Course Evaluations**

- We care about your opinion
  - And so do next year's prospective students!
- We'd like to use your feedback to make the class better

### **Lecture Goals**

- What is a data race, and what is data race free execution?
- Subtleties of data races and memory models
  - Why taking advantage of "harmless races" is almost certainly a bad idea
- Lockset analysis for data race detection
- Happens-before based data race detection
  - And high performance implementations, e.g. as in FastTrack

### SEQUENTIAL CONSISTENCY

### First things First Assigning Semantics to Concurrent Programs

```
int X = F = 0;

X = 1;

F = 1;

t = F;

u = X;
```

- What does this program mean?
- Sequential Consistency [Lamport '79]
   Program behavior = set of its thread interleavings

### Sequential Consistency Explained

int X = F = 0; // F = 1 implies X is initialized

$$X = 1;$$

$$X = 1;$$

$$X = 1;$$

$$t = F;$$

$$F = 1;$$

$$t = F;$$

$$t = F;$$

$$u = X;$$

$$X = 1;$$

$$X = 1;$$

$$F = 1;$$

$$u = X;$$

$$X = 1;$$

$$u = X;$$

$$F = 1;$$

$$u = X;$$

$$u = X;$$

$$F = 1;$$

$$u = X;$$

### Naturalness of Sequential Consistency

- Sequential Consistency provides two crucial abstractions
- Program Order Abstraction
  - Instructions execute in the order specified in the program

A;B

means "Execute A and then B"

- Shared Memory Abstraction
  - Memory behaves as a global array, with reads and writes done immediately
- We implicitly assume these abstractions for sequential programs
  - As we will see, we can only rely on these abstractions under certain conditions in a concurrent context

### WHAT IS A DATA RACE?

 The term "data race" is often overloaded to mean different things

Precise definition is important in designing a tool

### **Data Race**

- Two accesses conflict if
  - they access the same memory location, and
  - at least one of them is a write

```
Write X – Write X
```

Write X – Read X

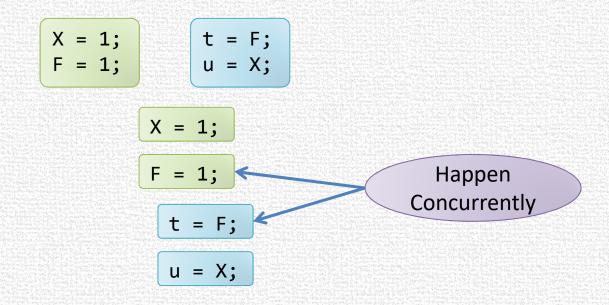
Read X – Write X

Read X - Read X

 A data race is a pair of conflicting accesses that happen concurrently

### "Happen Concurrently"

- A and B happen concurrently if
- there exists a sequentially consistent execution in which they happen one after the other



### **Unintended Sharing**

Threads accidentally sharing objects

```
Thread 1
void work() {
    static int local = 0;
    ...
    local += ...
}

Data Race
Thread 2
void work() {
    static int local = 0;
    ...
    local += ...
}
```

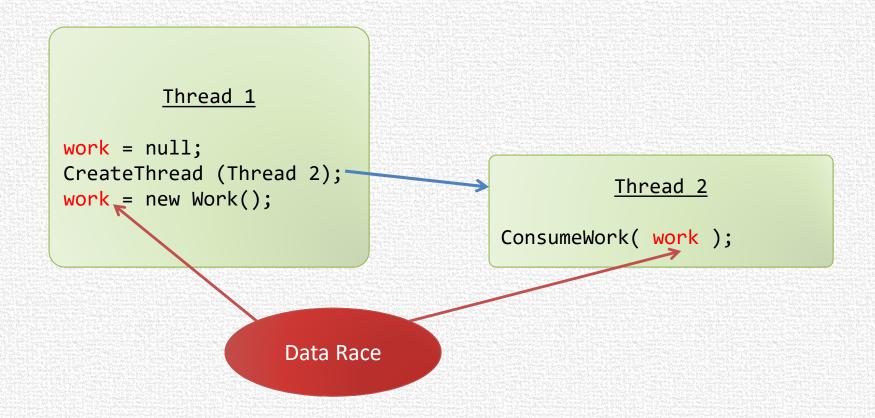
### **Atomicity Violation**

- When code that is meant to execute atomically...
  - That is, without interference from other threads
- ...suffers interference from some other thread

# Thread 1 void Bank::Update(int a) { int t = bal; bal = t + a; } Data Race Thread 2 void Bank::Withdraw(int a) { int t = bal; bal = t - a; }

### **Ordering Violation**

Incorrect signaling between a producer and a consumer



### But,....

```
AcquireLock() {
    while (!CAS (lock, 0, 1)) {}
}

Data Race ?
```

### **Acceptable Concurrent Conflicting Accesses**

- Implementing synchronization (such as locks) usually requires concurrent conflicting accesses to shared memory
- Innovative uses of shared memory
  - Fast reads
  - Double-checked locking
  - Lazy initialization
  - Setting dirty flag
- Need mechanisms to distinguish these from erroneous conflicts

### Solution: Programmer Annotation

- Programmer explicitly annotates variables as "synchronization"
  - Java volatile keyword
  - C++ std::atomic<> types

### **Data Race**

- Two accesses conflict if
  - they access the same memory location, and
  - at least one of them is a write
- A data race is a pair of concurrent conflicting accesses to locations not annotated as synchronization
  - Recall: "Concurrent" means there exists a sequentially consistent execution in which they happen one after the other
- Equivalent definition: a pair of conflicting accesses where one doesn't happen before the other
  - Program order
  - Synchronization order
    - Acquire/release, wait-notify, fork-join, volatile read/write

# Quiz Question: Is there a data race? If so, on what variable(s)?

```
Initially:
    int data = 0;
    boolean flag = false;

T1:

data = 42;
flag = true;

if (flag)
    t = data;
```

```
Initially:
        int data = 0;
        boolean flag = false;

T1:

data = 42;
flag = true; = = = = = = = = = = = = = = t = (flag)
        t = data;
```

### Possible behavior

```
Initially:
               int data = 0;
               boolean flag = false;
                                   T2:
T1:
flag = true;
                                   if (flag)
                                     t = data;
data = 42;
```

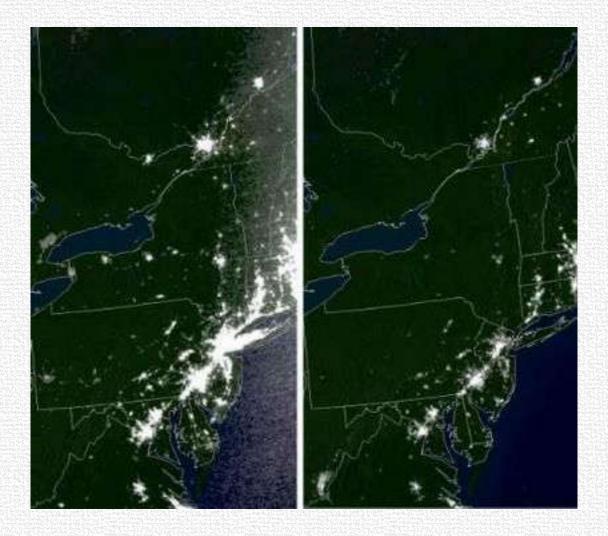
### Possible behavior

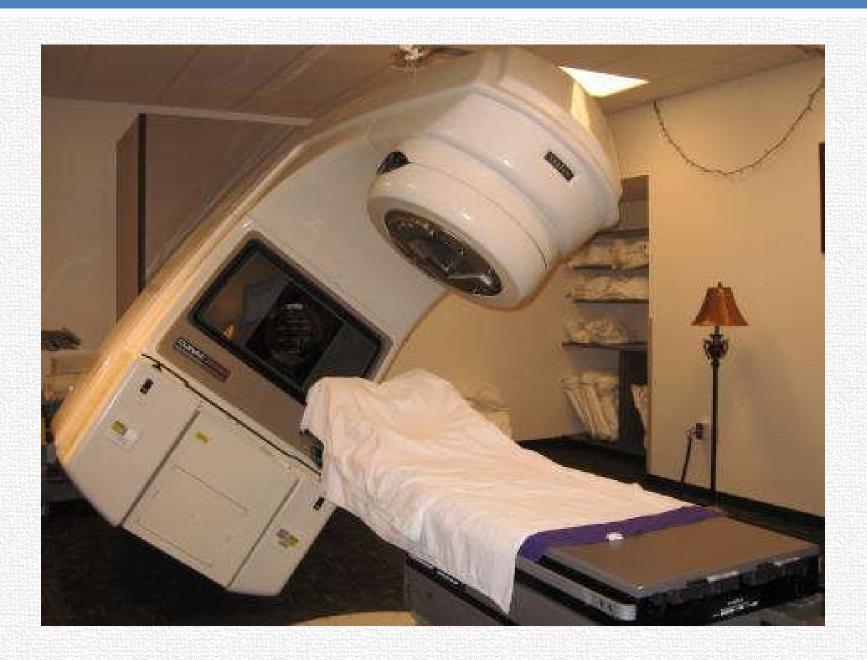
```
Initially:
               int data = 0;
               boolean flag = false;
T1:
data = ...;
synchronized (m) {
  flag = true;
                                  boolean f;
                                  synchronized (m) {
                                     f = flag;
                                  if (f)
                                     ... = data;
```

```
Initially:
                int data = 0;
               boolean flag = false;
                                    T2:
T1:
data = ...;
acquire(m);
  flag = true;
release(m);____
                                    boolean f;
                 Happens-before
                                    acquire(m);
                 relationship
                                      f = flag;
                                    release(m);
                                    if (f)
                                       ... = data;
```

### Data Race vs Race Conditions

- Data Races != Race Conditions
  - Confusing terminology
- Race Condition
  - Any timing error in the program
  - Due to events, device interaction, thread interleaving, ...
  - Race conditions can be very bad!





### Data Race vs Race Conditions

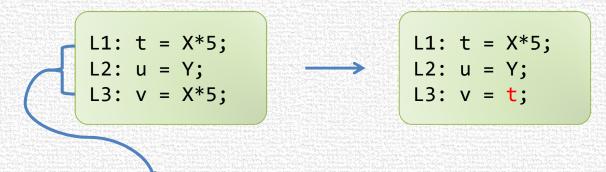
- Data Races != Race Conditions
  - Confusing terminology
- Race Condition
  - Any timing error in the program
  - Due to events, device interaction, thread interleaving, ...
  - Race conditions can be very bad!
- Data races are neither sufficient nor necessary for a race condition
  - Data race is a good symptom for a race condition

# DATA-RACE-FREEDOM SIMPLIFIES LANGUAGE SEMANTICS

### Advantage of Eliminating All Data Races

- Defining semantics for concurrent programs becomes surprisingly easy
- In the presence of compiler and hardware optimizations

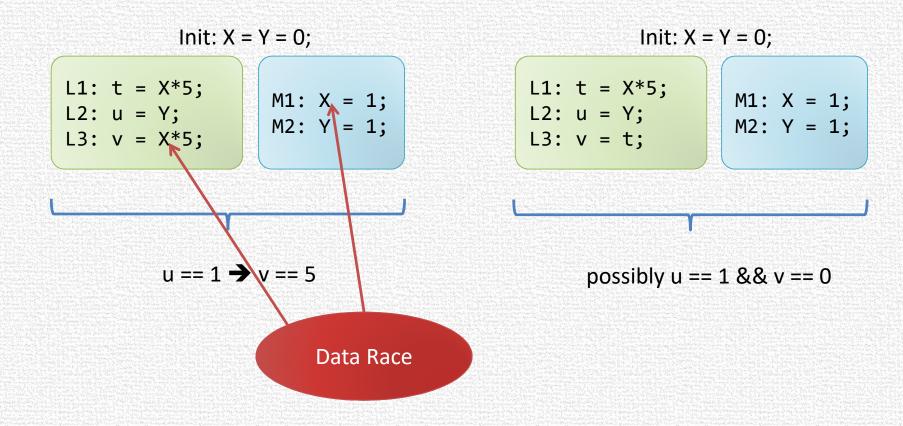
### Can A Compiler Do This?



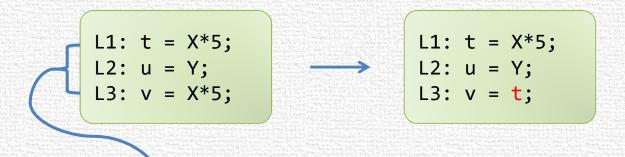
OK for sequential programs if X is not modified between L1 and L3

t,u,v are local variables X,Y are possibly shared

### Can Break Sequential Consistent Semantics



### Can A Compiler Do This?



OK for sequential programs if X is not modified between L1 and L3

t,u,v are local variables X,Y are possibly shared

OK for concurrent programs if there is no data race on X or if there is no data race on Y

### Key Observation [Adve& Hill '90]

- Many sequentially valid (compiler & hardware) transformations also preserve sequential consistency
- Provided the program is data-race free
- Forms the basis for modern C++, Java semantics
   data-race-free → sequential consistency
   otherwise → weak/undefined semantics

### DATA RACE DETECTION

## Overview of Data Race Detection Techniques

- Static data race detection
- Dynamic data race detection
  - Lock-set
  - Happen-before
  - DataCollider

#### Static Data Race Detection

- Advantages:
  - Reason about all inputs/interleavings
  - No run-time overhead
  - Adapt well-understood static-analysis techniques
  - Annotations to document concurrency invariants
- Example Tools:
  - RCC/Java type-based
  - ESC/Java "functional verification" (theorem proving-based)

#### Static Data Race Detection

- Advantages:
  - Reason about all inputs/interleavings
  - No run-time overhead
  - Adapt well-understood static-analysis techniques
  - Annotations to document concurrency invariants
- Disadvantages of static:
  - Undecidable...
  - Tools produce "false positives" or "false negatives"
  - May be slow, require programmer annotations
  - May be hard to interpret results

## **Dynamic Data Race Detection**

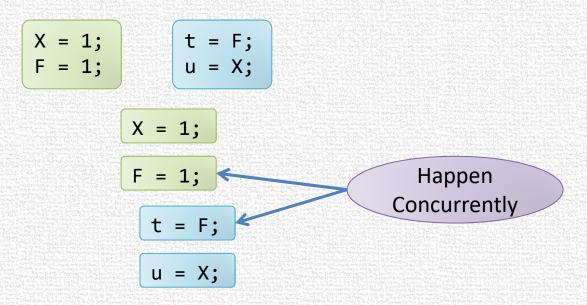
- Advantages
  - Can avoid "false positives"
  - No need for language extensions or sophisticated static analysis
- Disadvantages
  - Run-time overhead (5-20x for best tools)
  - Memory overhead for analysis state
  - Reasons only about observed executions
    - sensitive to test coverage
    - (some generalization possible...)

## Tradeoffs: Static vs Dynamic

- Coverage
  - generalize to additional traces?
- Soundness
  - all reported warnings are actually races
- Completeness
  - every actual data race is reported
- Overhead
  - run-time slowdown
  - memory footprint
- Programmer overhead

#### **Definition Refresh**

 A data race is a pair of concurrent conflicting accesses to unannotated locations (i.e. not locks or volatile variables)



- Problem for dynamic data race detection
  - Very difficult to catch the two accesses executing concurrently

#### Solution

- Lockset
  - Infer data races through violation of locking discipline
- Happens-before
  - Infer data races by generalizing a trace to a set of traces with the same happens-before relation

## **LOCKSET ALGORITHM**

Eraser [Savage et.al. '97]

## **Lockset Algorithm Overview**

- Checks a sufficient condition for data-race-freedom
- Consistent locking discipline
  - Every data structure is protected by a single lock
  - All accesses to the data structure made while holding the lock

#### Example:

```
// Remove a received packet
AcquireLock( RecvQueueLk );
pkt = RecvQueue.Removerop(),
ReleaseLock( RecvQueueLk );

... // process pkt

// Insert into processed
AcquireLock( ProcQueueLk );

ProcQueue.Insert(pkt),
ReleaseLock( ProcQueueLk );
ReleaseLock( ProcQueueLk );
```

## Inferring the Locking Discipline

- How do we know which lock protects what?
  - Asking the programmer is cumbersome

 Solution: Infer from the program AcquireLock( A ); X is protected by A, or B, or both AcquireLock( B ); X ++; ReleaseLock( B ); X is protected ReleaseLock( A ); by B X is protected by AcquireLock( B ); B, or C, or both AcquireLock( C ): X ++; ReleaseLock( C ); ReleaseLock( B );

## LockSet Algorithm

- Two data structures:
  - LocksHeld(t) = set of locks held currently by thread t
    - Initially set to Empty
  - LockSet(x) = set of locks that could potentially be protecting x
    - Initially set to the universal set
- When thread t acquires lock I
  - $LocksHeld(t) = LocksHeld(t) \cup \{l\}$
- When thread t releases lock l
  - $LocksHeld(t) = LocksHeld(t) \{l\}$
- When thread t accesses location x
  - $LockSet(x) = LockSet(x) \cap LocksHeld(t)$
  - Report "data race" when LockSet(x) becomes empty

## Algorithm Guarantees

- No warnings 

  no data races on the current execution
  - The program followed consistent locking discipline in this execution
- Warnings does not imply a data race
  - Thread-local initialization

```
// Initialize a packet
pkt = new Packet();
pkt.Consumed = 0

AcquireLock( SendQueueLk );
SendQueue.Enqueue(pkt);
ReleaseLock( SendQueueLk );
```

```
// Process a packet
AcquireLock( SendQueueLk );
pkt = SendQueue.Top();
pkt.Consumed = 1;
ReleaseLock( SendQueueLk );
```

## LockSet Algorithm Guarantees

- No warnings 

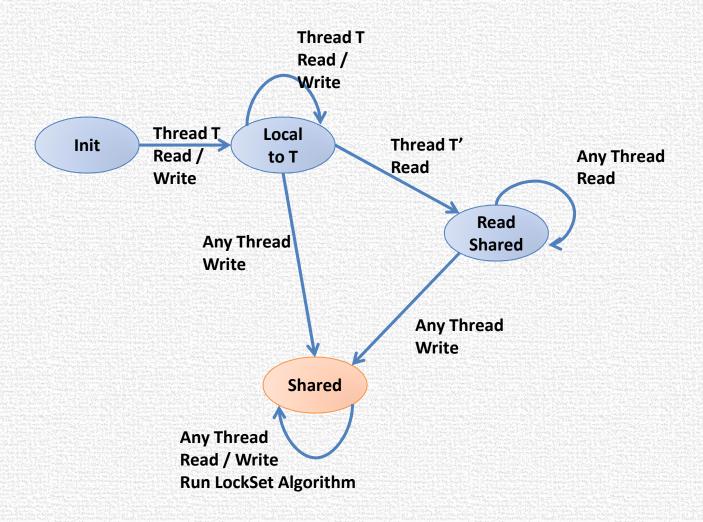
  no data races on the current execution
  - The program followed consistent locking discipline in this execution
- Warnings does not imply a data race
  - Object read-shared after thread-local initialization

```
A = new A();
A.f = 0;

// publish A
globalA = A;
```

```
f = globalA.f;
```

#### Maintain A State Machine Per Location



## LockSet Algorithm Guarantees

State machine misses some data races

```
// Initialize a packet
pkt = new Packet();
pkt.Consumed = 0;

AcquireLock( WrongLk );
pkt = SendQueue.Top();
pkt.Consumed = 1;
ReleaseLock( WrongLk );
```

```
// Process a packet
AcquireLock( SendQueueLk );
pkt = SendQueue.Top();
pkt.Consumed = 1;
ReleaseLock( SendQueueLk );
```

## LockSet Algorithm Guarantees

 Does not handle locations consistently protected by different locks during a particular execution

```
// Remove a received packet
AcquireLock( RecvQueueLk );
pkt = RecvQueue.RemoveTop();
ReleaseLock( RecvQueueLk );

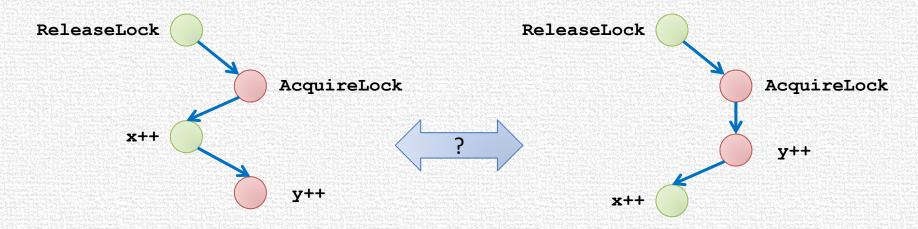
... // process pkt

// Insert into processed
AcquireLock( ProcQueueLk );
ProcQueue.Insert(pkt);
ReleaseLock( ProcQueueLk );
ProcQueueLk );
Pkt is protected by
ProcQueueLk
```

## **HAPPENS-BEFORE**

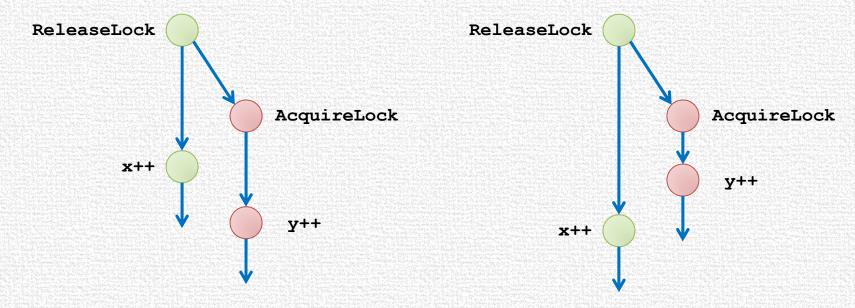
## Happens-Before Relation [Lamport '78]

- A concurrent execution is a partial-order determined by communication events
- The program cannot "observe" the order of concurrent non-communicating events



## Happens-Before Relation [Lamport '78]

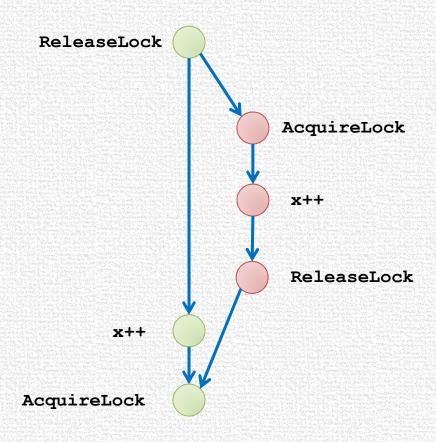
- A concurrent execution is a partial-order determined by communication events
- The program cannot "observe" the order of concurrent non-communicating events



Both executions form the same happens-before relation

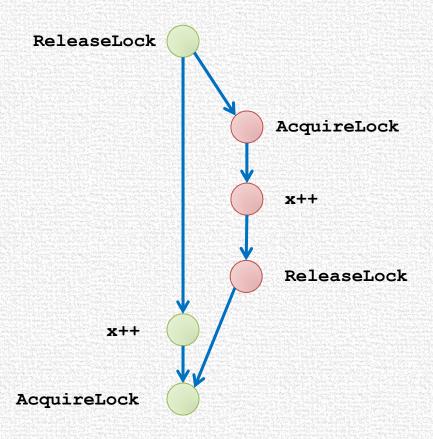
#### Constructing the Happens-Before Relation

- Program order
  - Total order of thread instructions
- Synchronization order
  - Total order of accesses to the same synchronization



## Happens-Before Relation And Data Races

- If all conflicting accesses are ordered by happens-before
- → data-race-free execution
- All linearizations of partial-order are valid program executions
- If there exists conflicting accesses not ordered
- $\rightarrow$  a data race



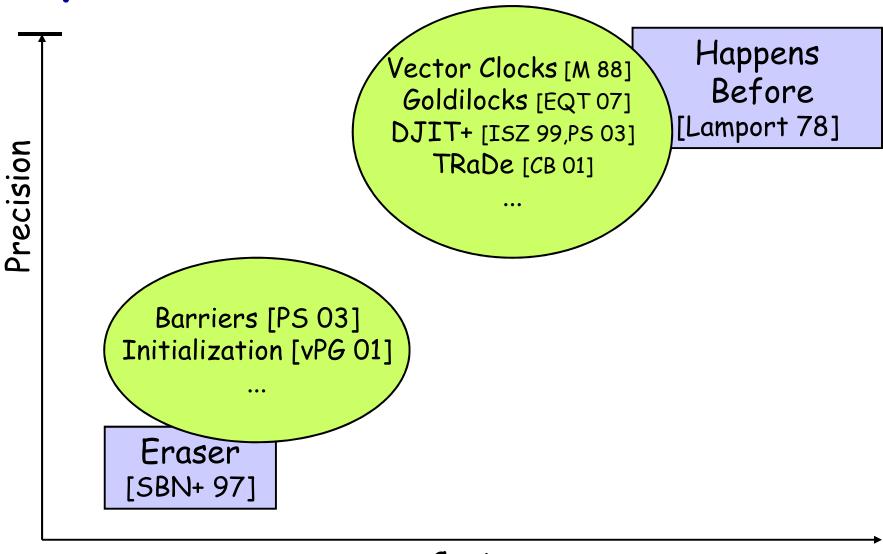
## Happens-Before and Data-Races

Not all unordered conflicting accesses are data races

- There is no data race on X
- But, there is a data race on Y
- Remember:
  - Exists unordered conflicting access → Exists data race

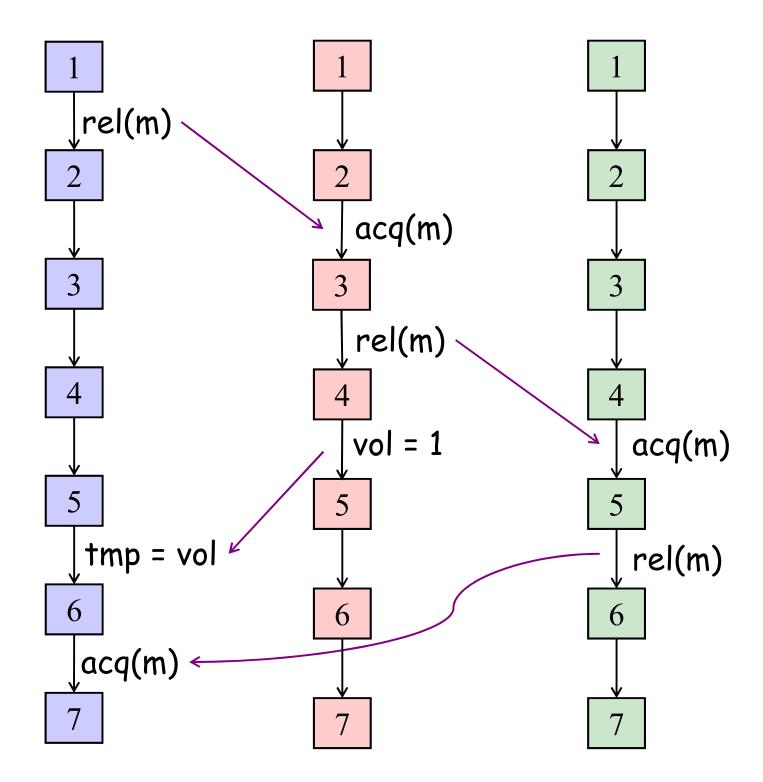
# IMPLEMENTING HAPPENS-BEFORE ANALYSES

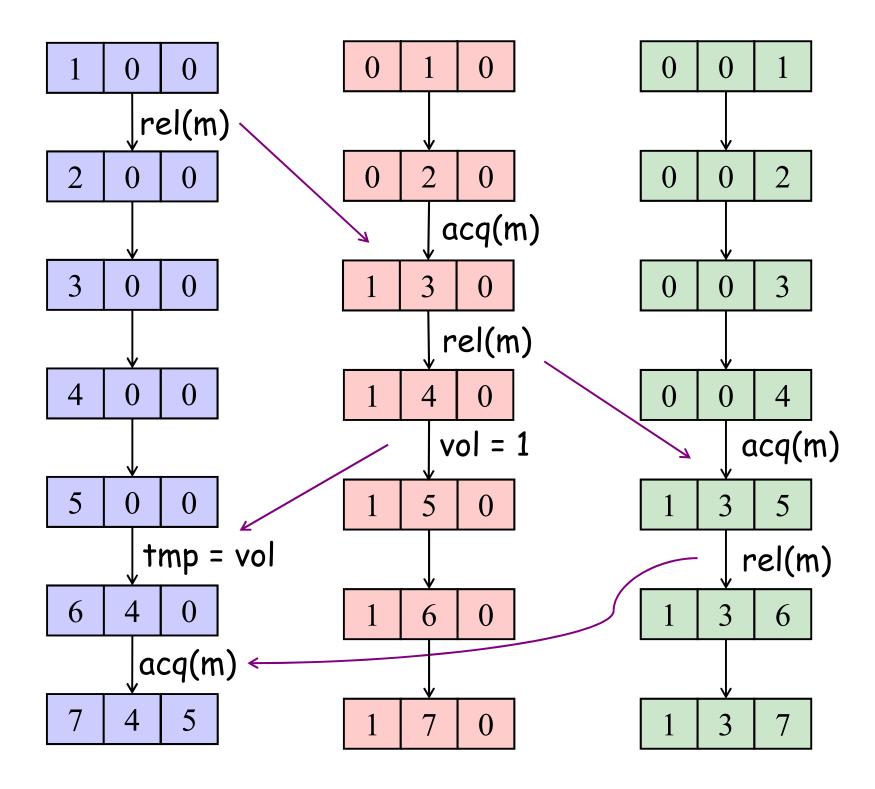
### Dynamic Data-Race Detection

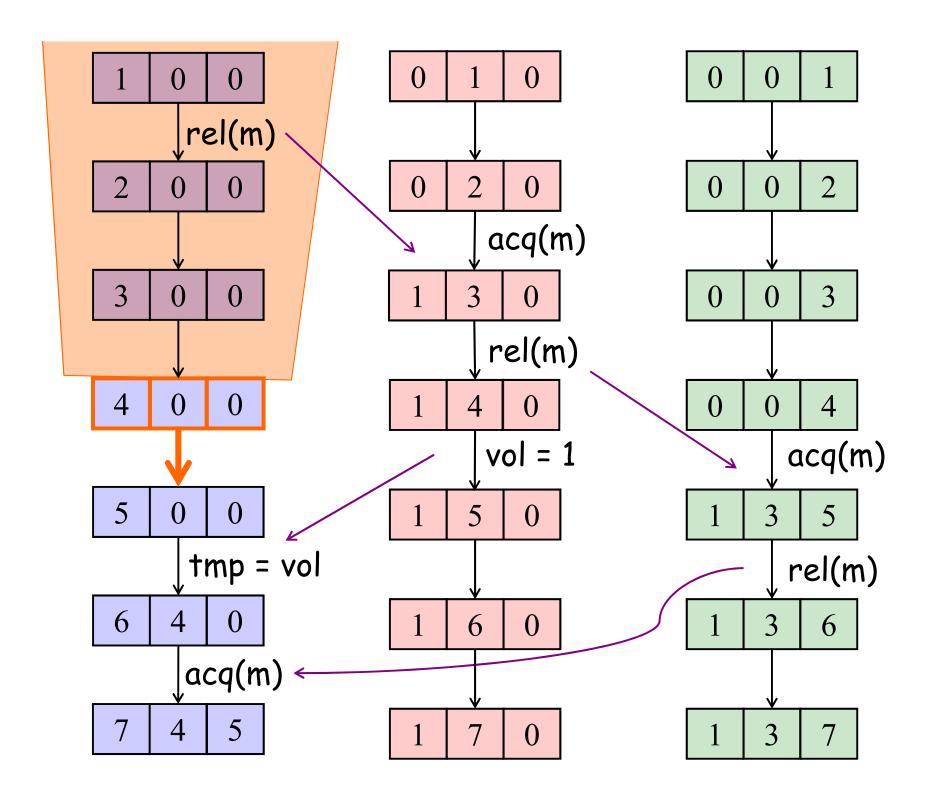


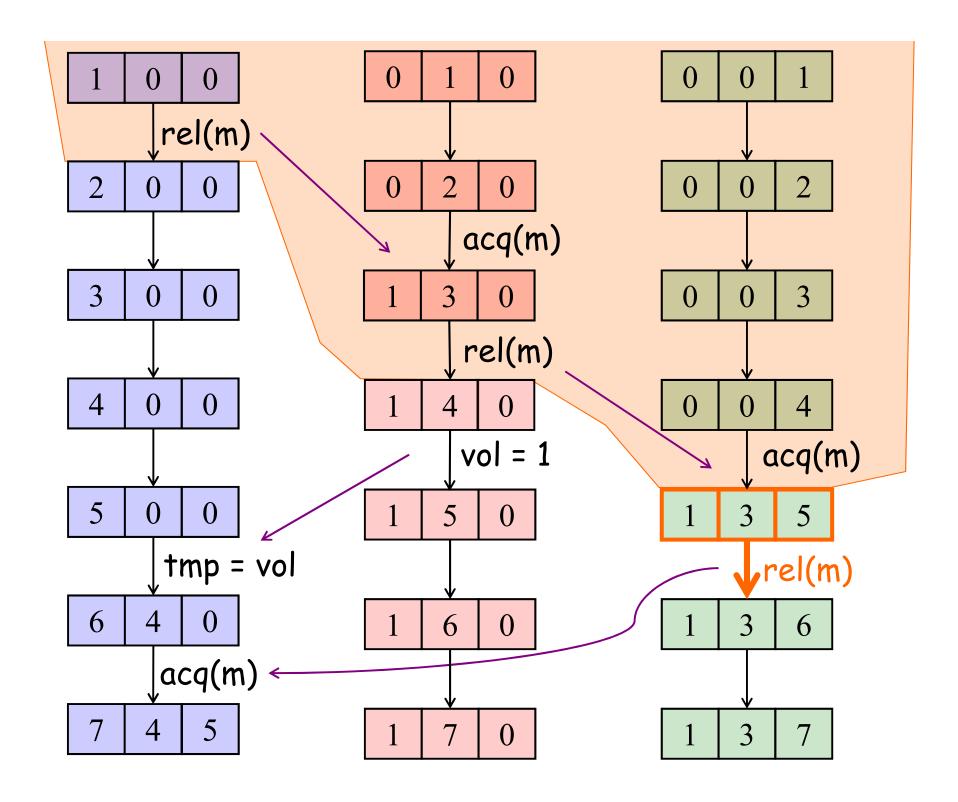
Cost

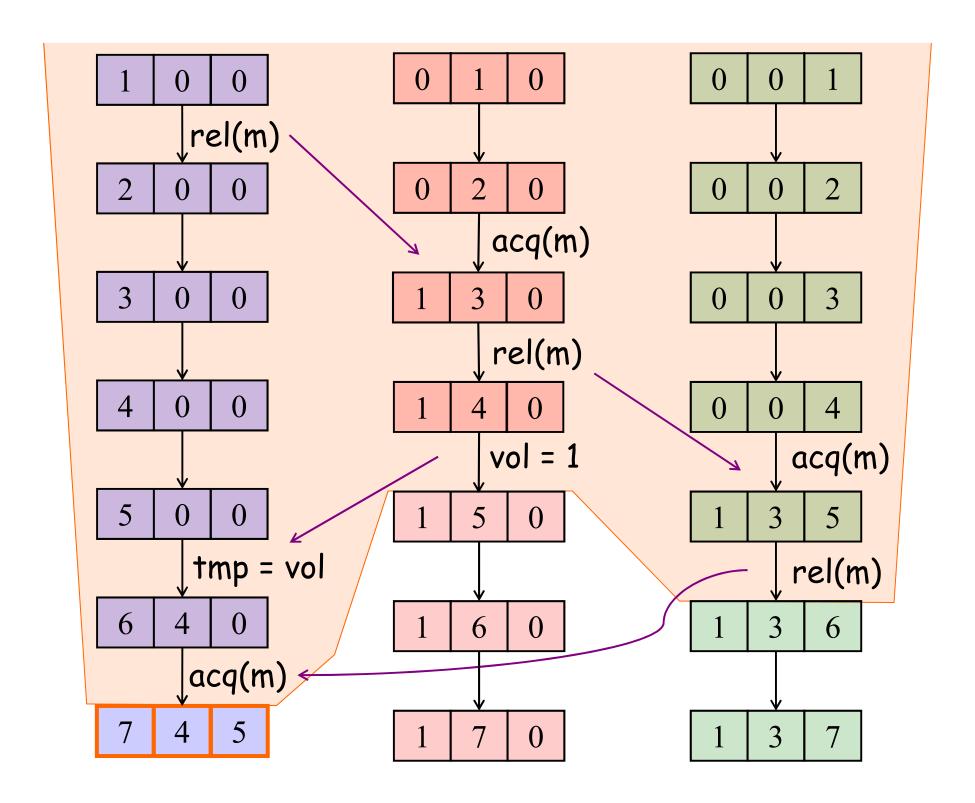
## Precise Happens-Before

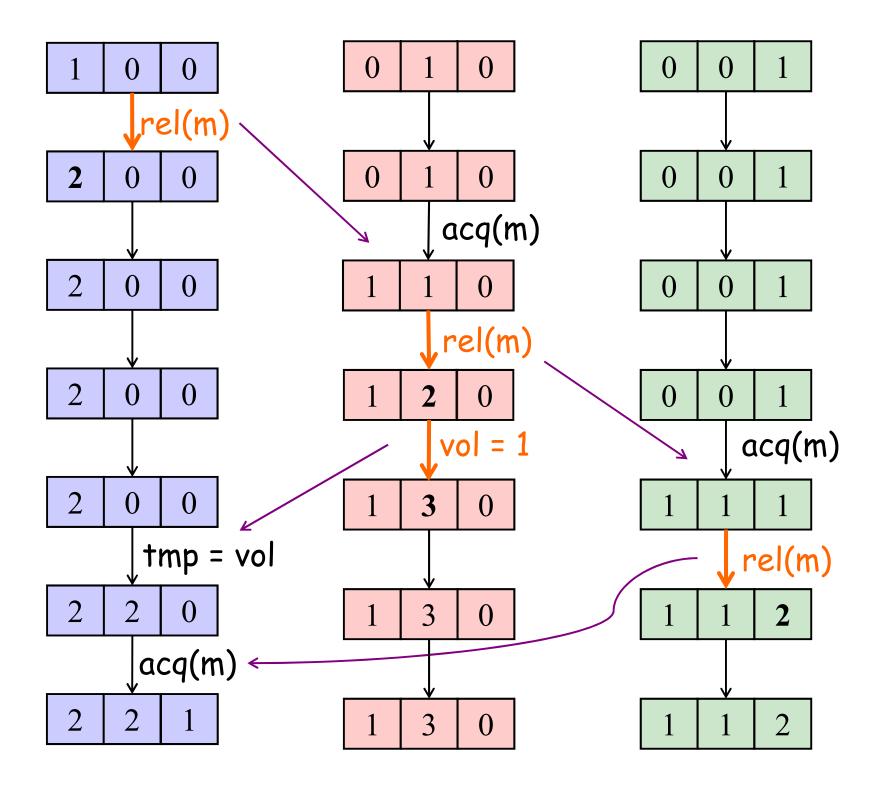


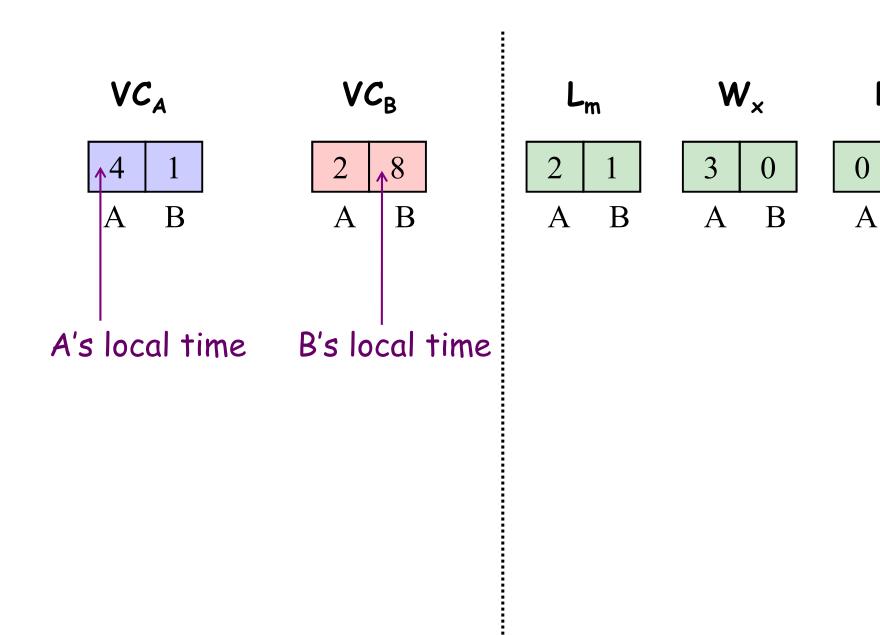




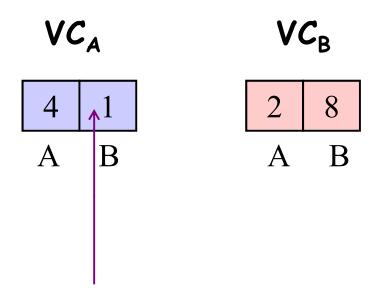




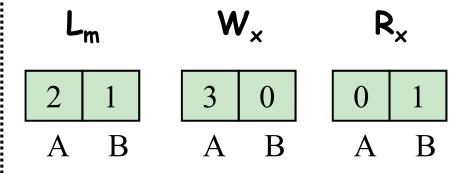


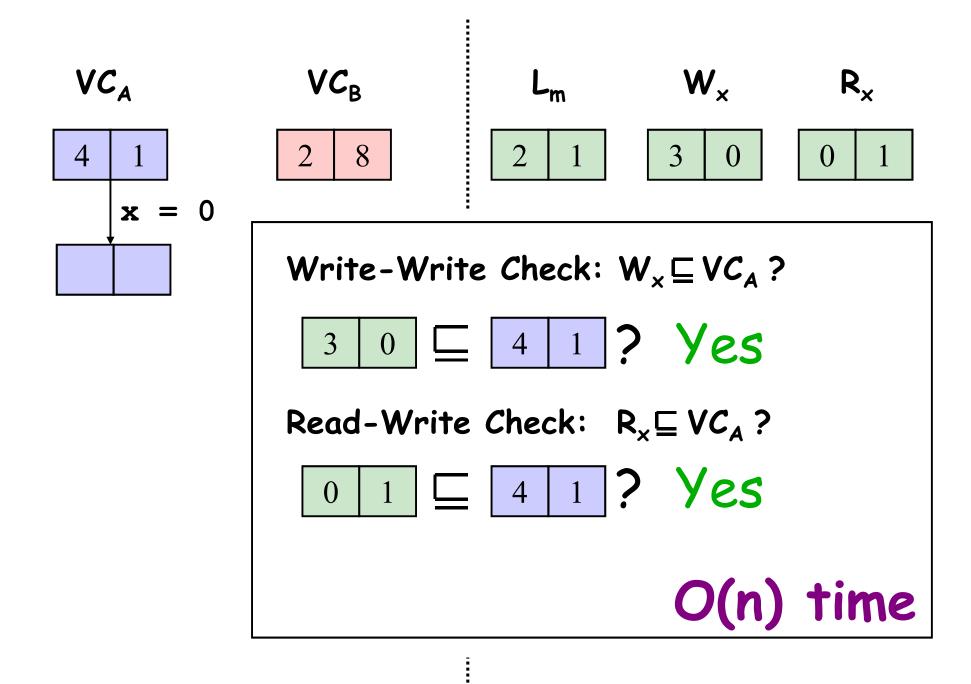


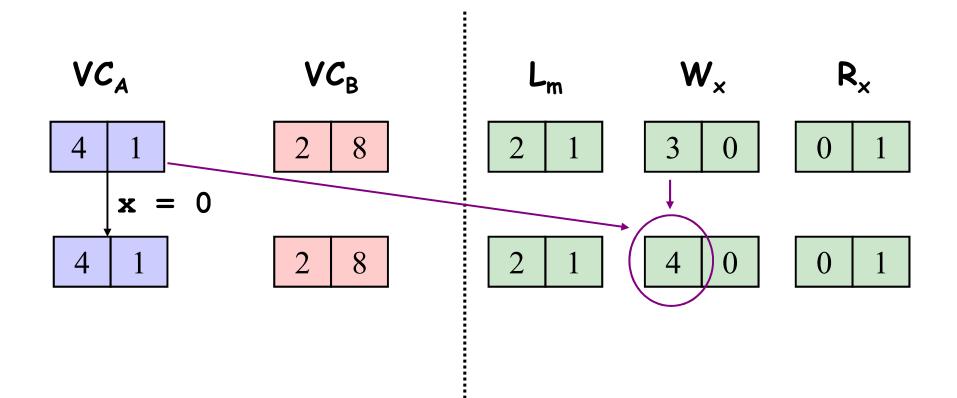
В

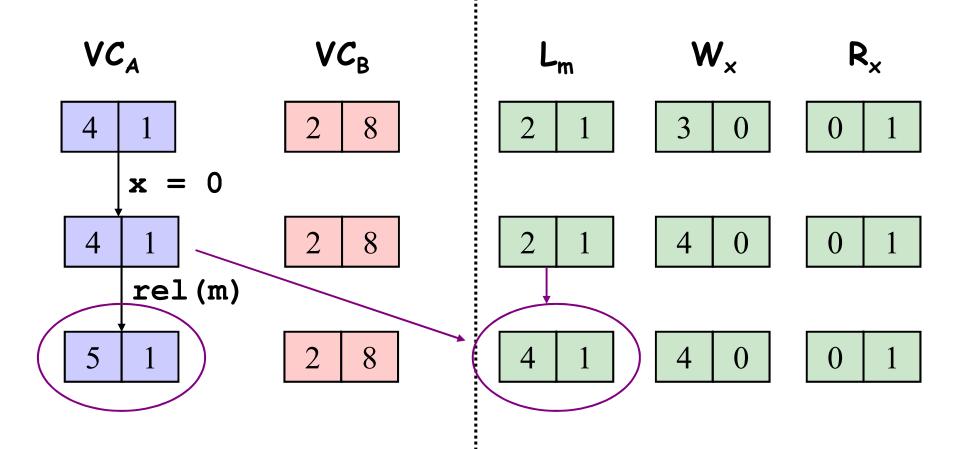


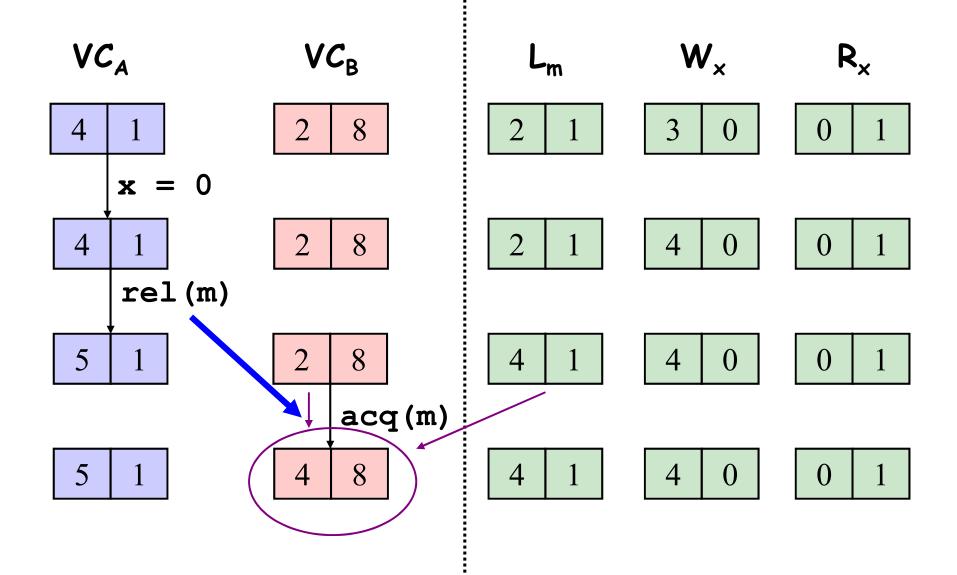
B-steps with B-time ≤ 1 happen before A's next step

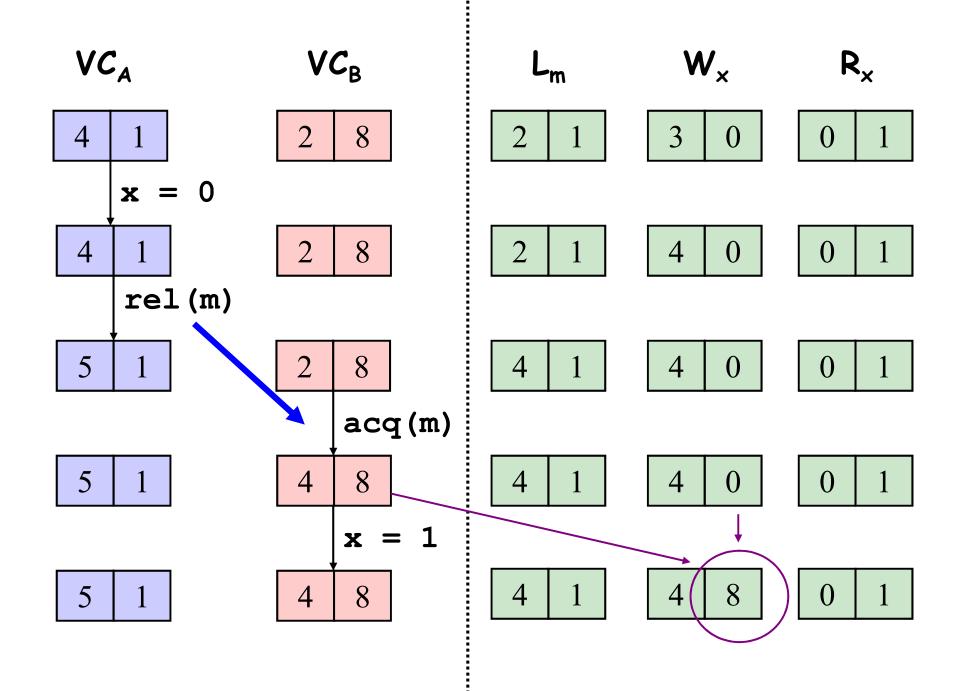


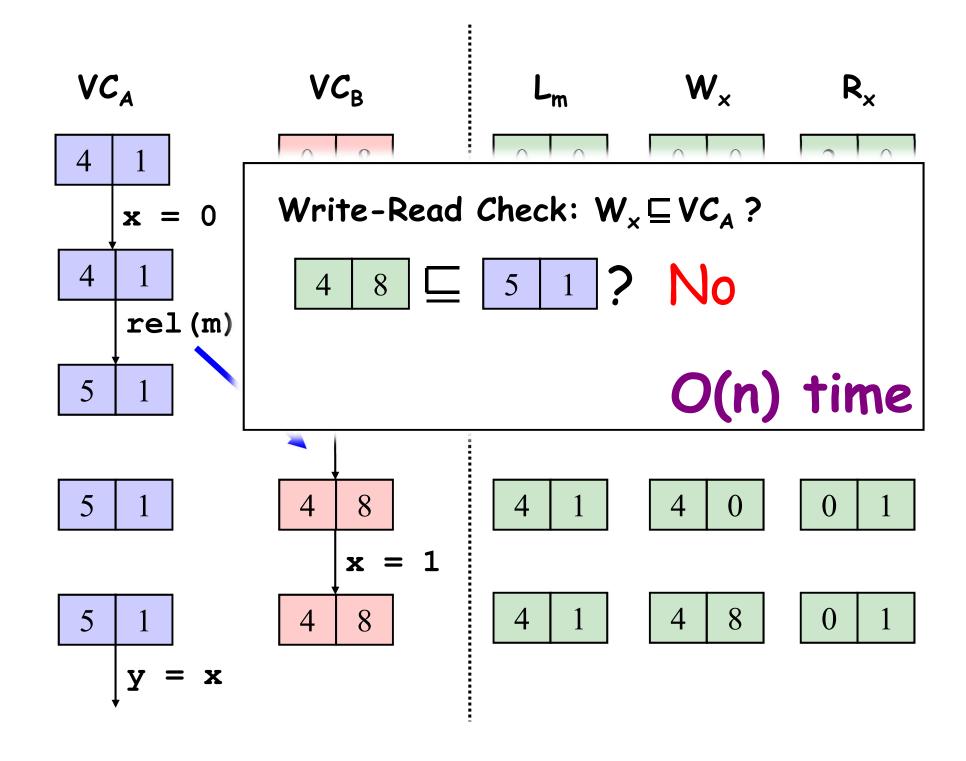








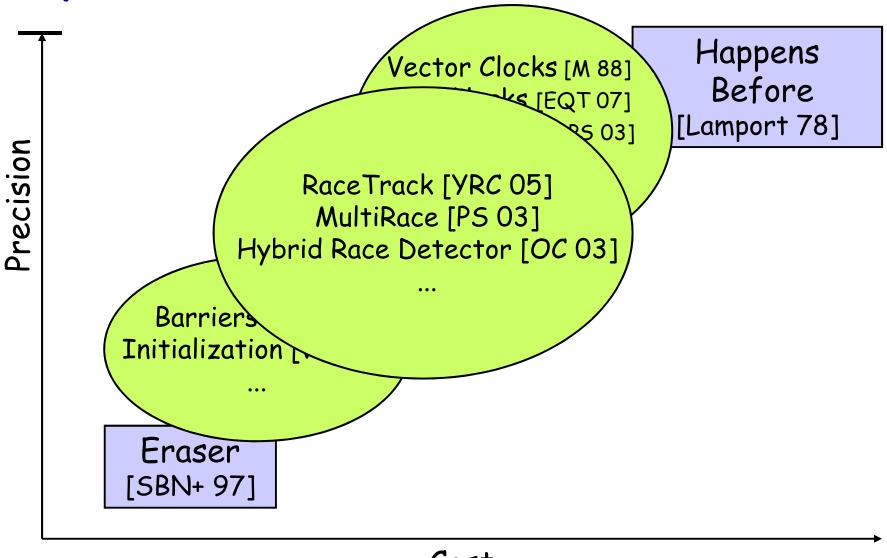




#### VectorClocks for Data-Race Detection

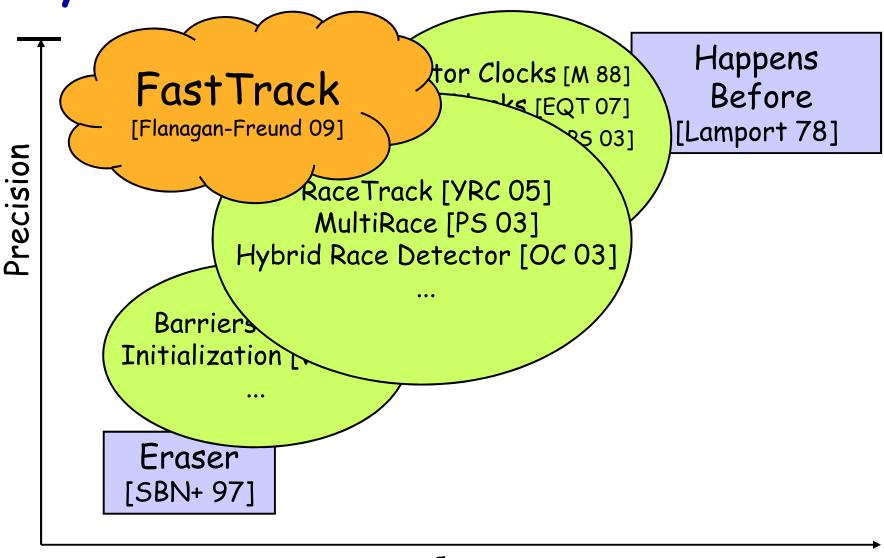
- Sound
  - Warning → data-race exists
- Complete
  - No warnings → data-race-free execution
- Performance
  - slowdowns > 50x
  - memory overhead

#### Dynamic Data-Race Detection

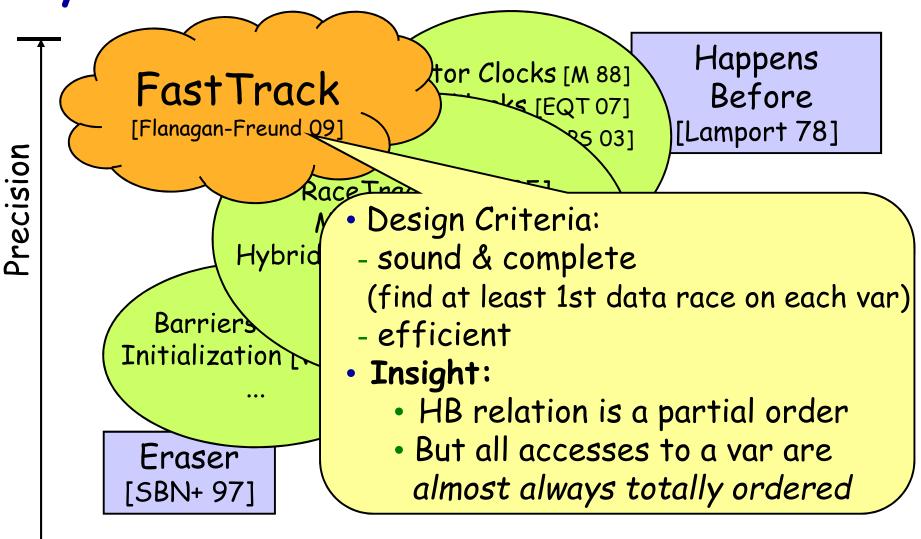


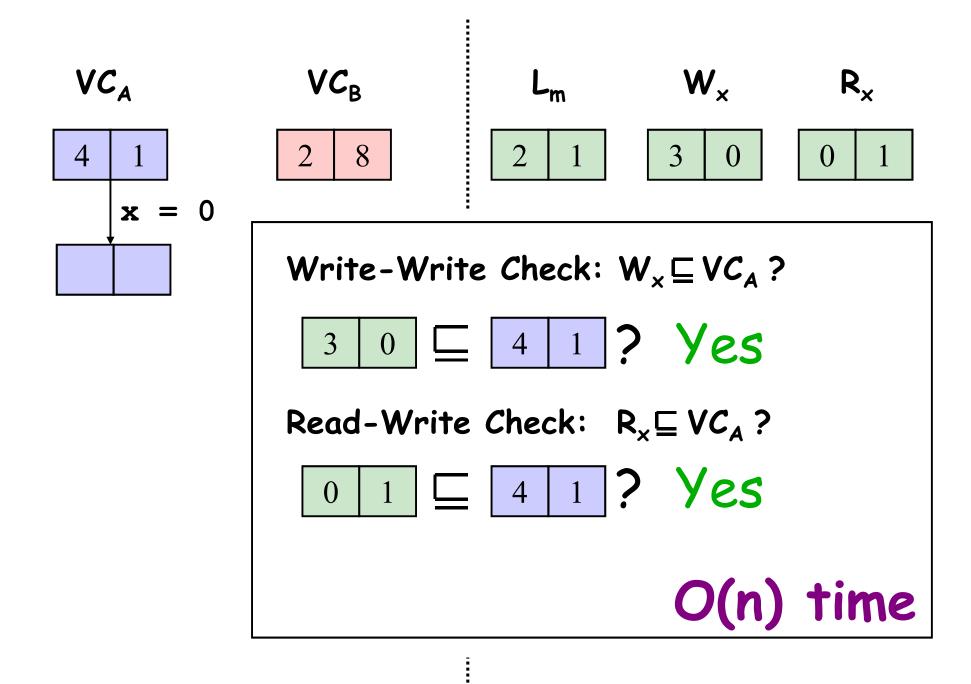
# **FASTTRACK**

Dynamic Data-Race Detection

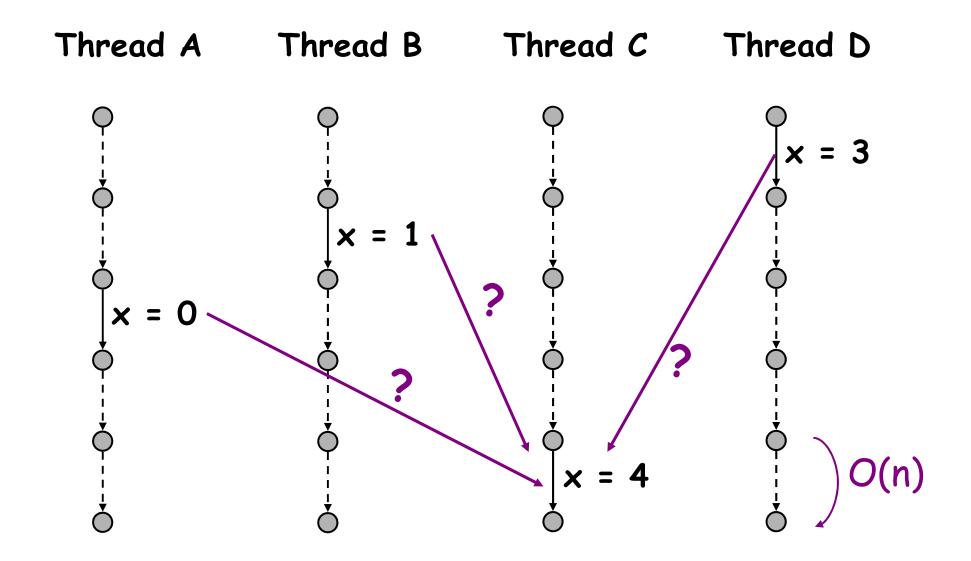


Dynamic Data-Race Detection

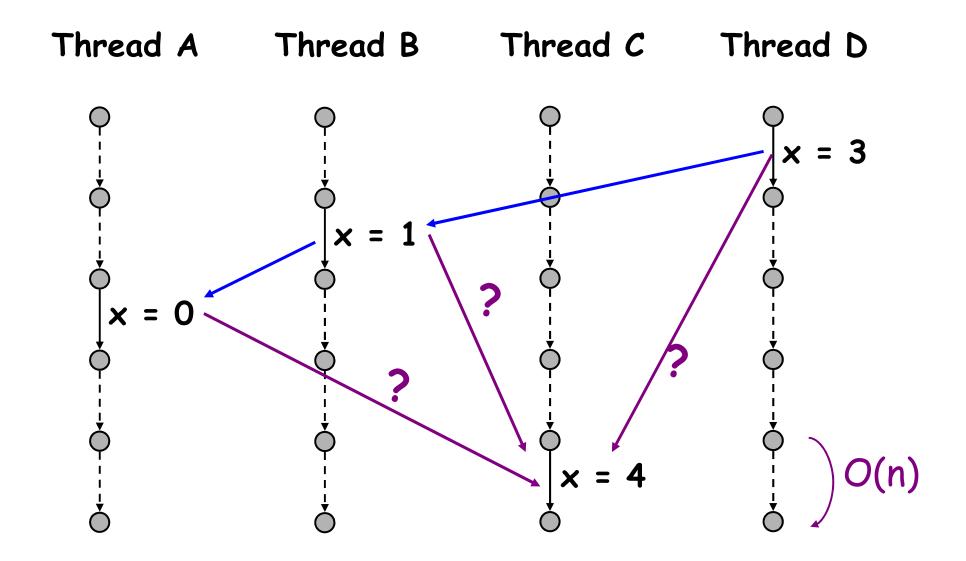




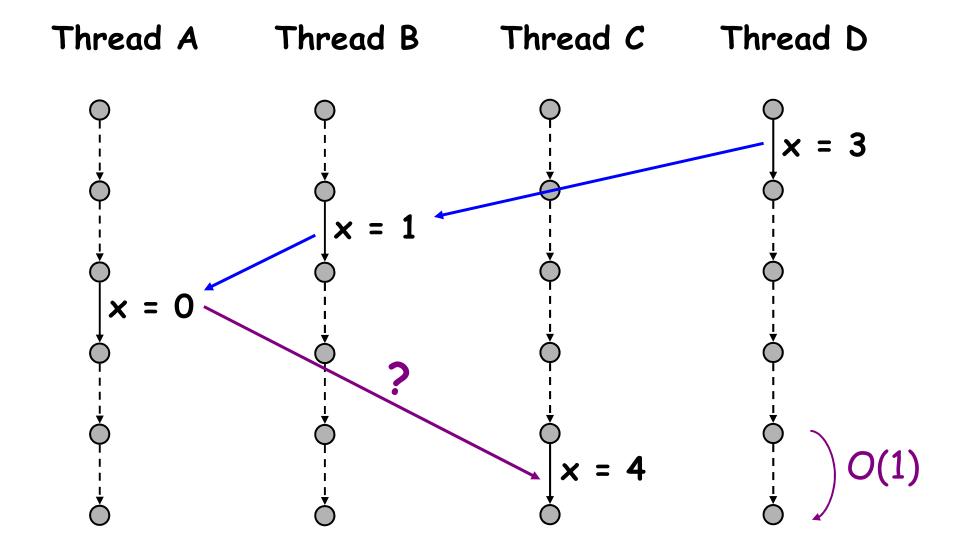
#### Write-Write and Write-Read Data Races

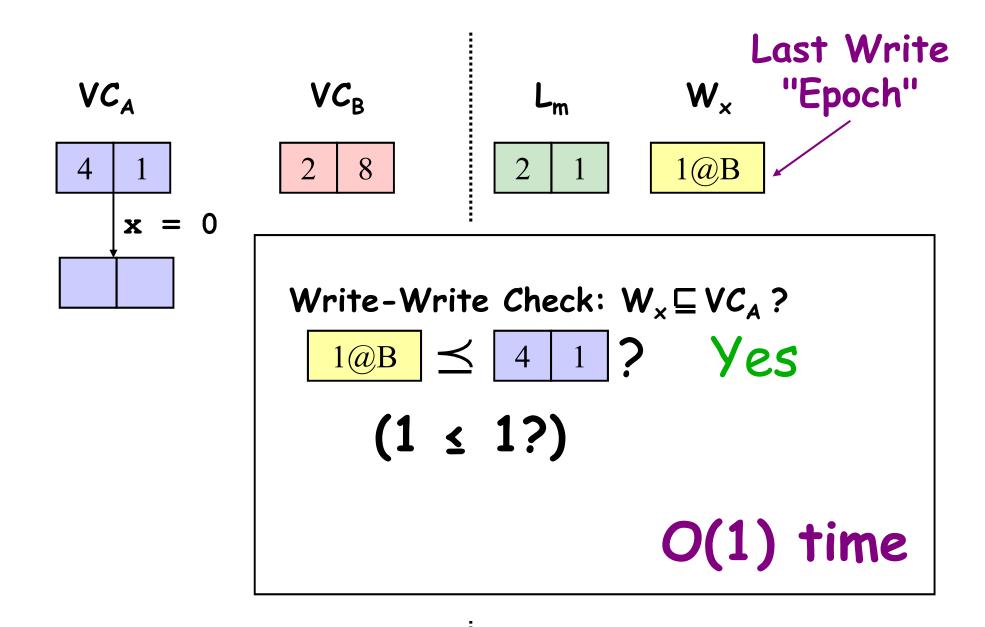


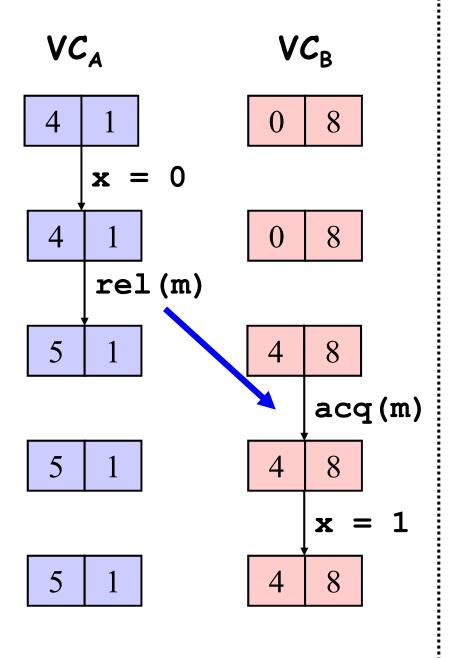
#### No Data Races Yet: Writes Totally Ordered

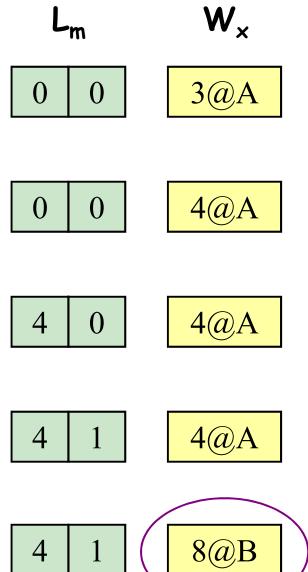


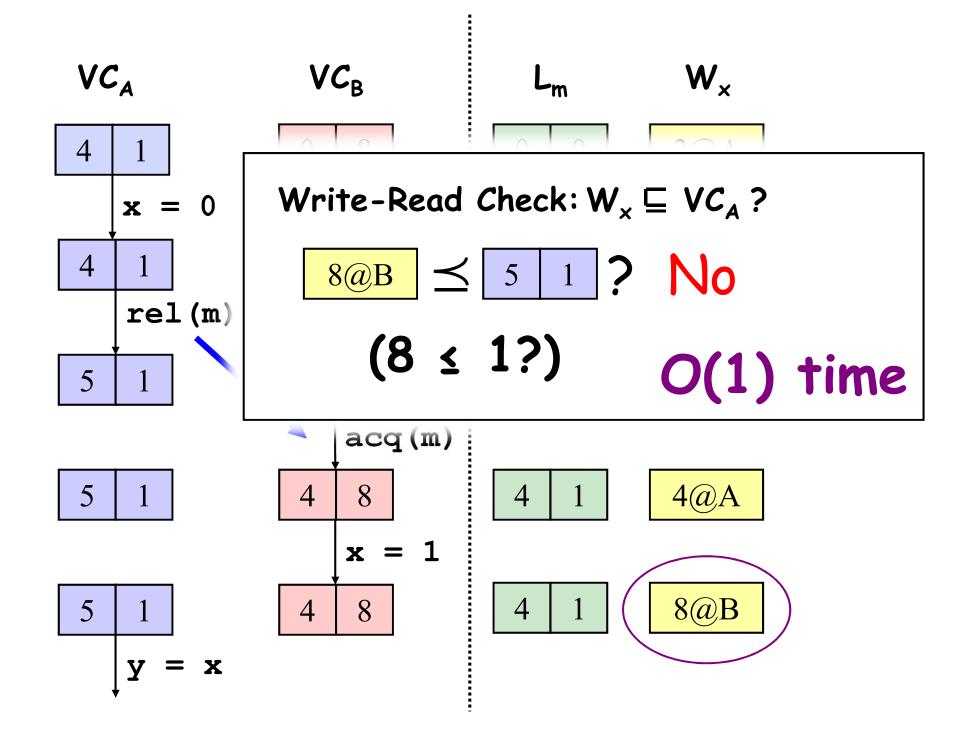
#### No Data Races Yet: Writes Totally Ordered



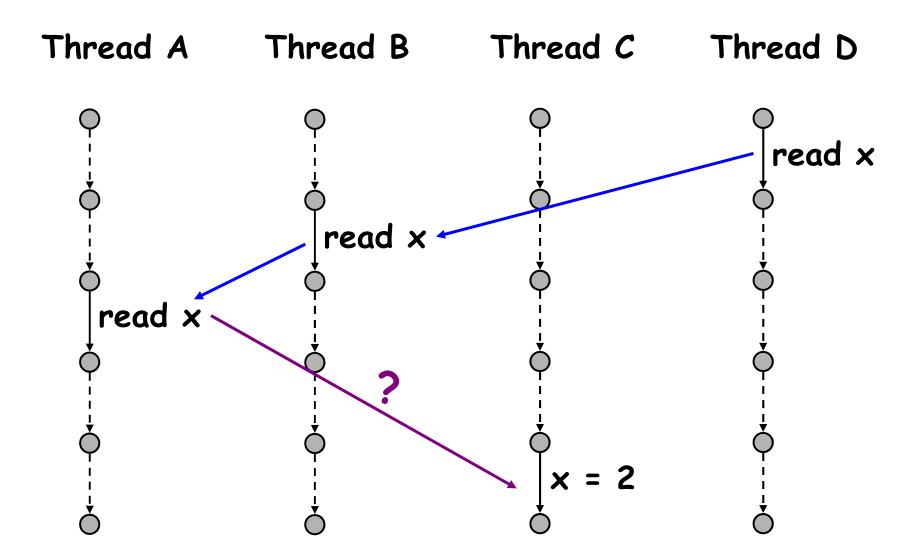






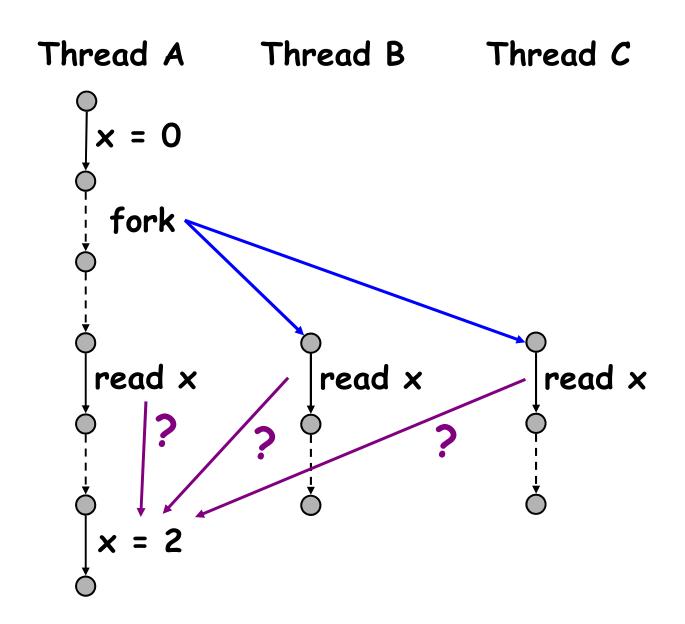


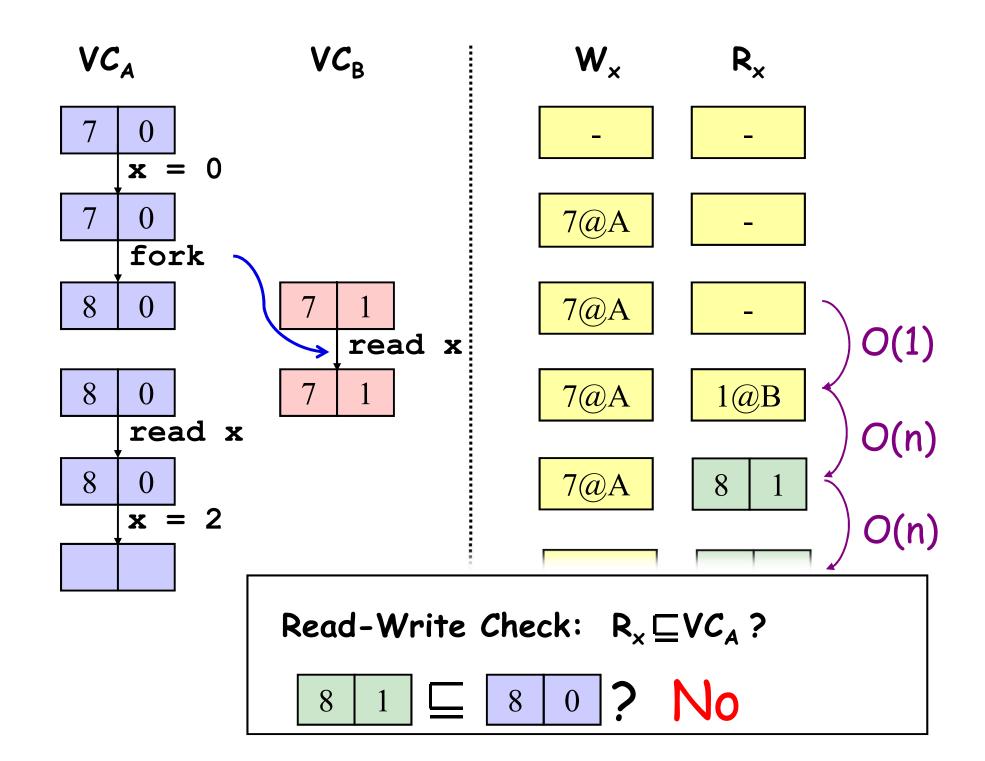
#### Read-Write Data Races -- Ordered Reads

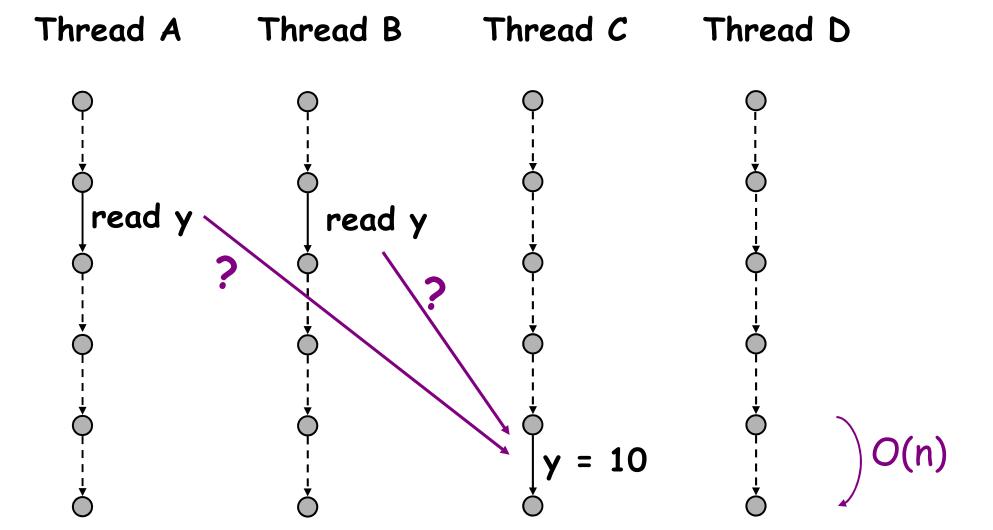


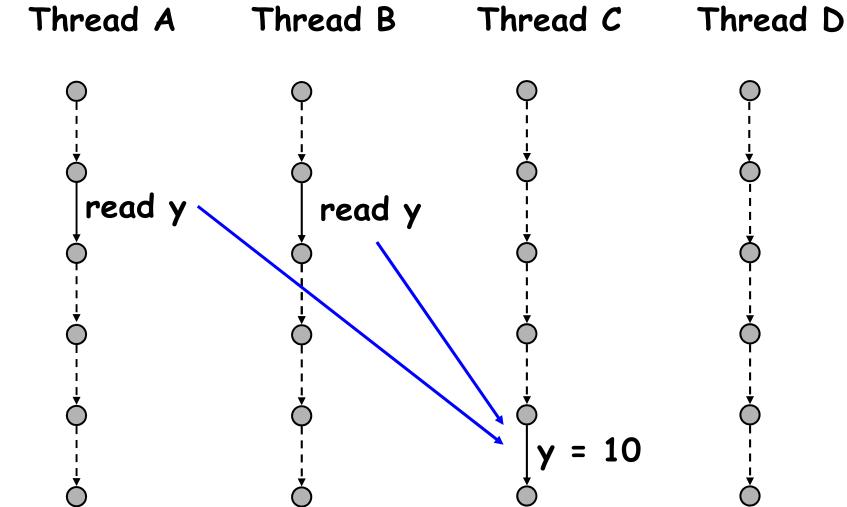
Most common case: thread-local, lock-protected, ...

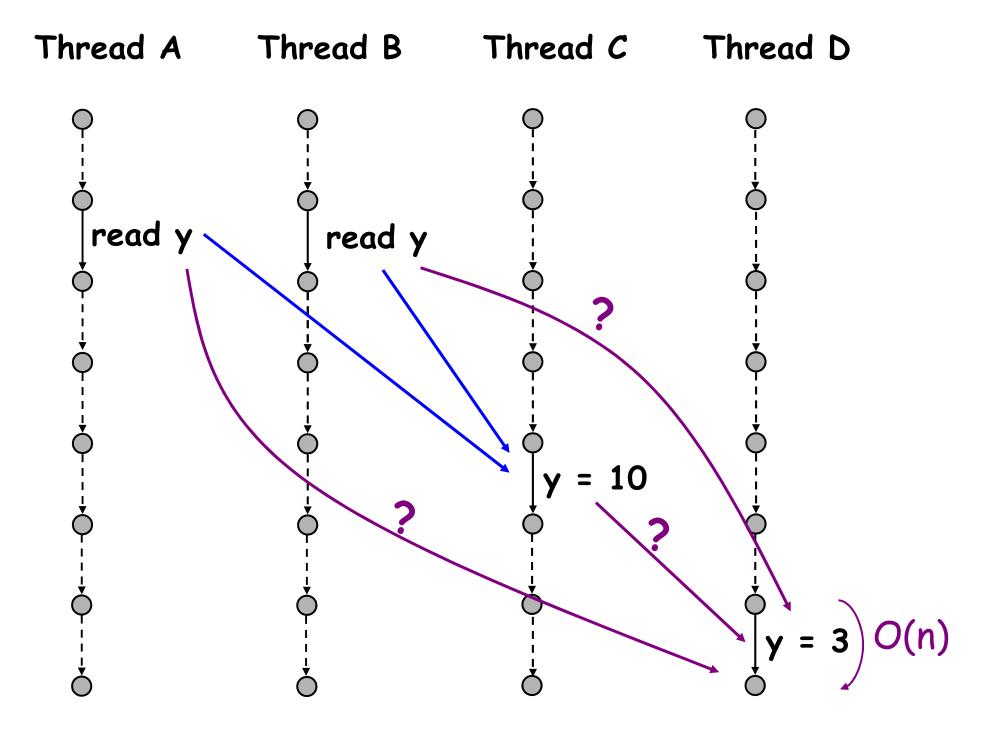
#### Read-Write Data Races -- Unordered Reads

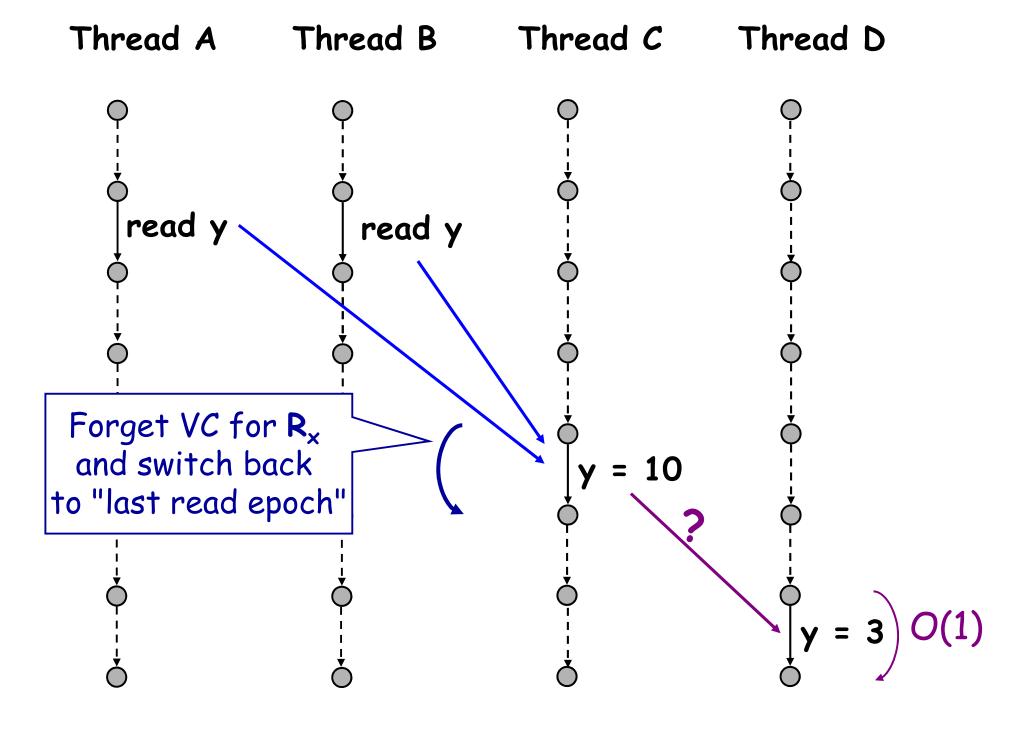




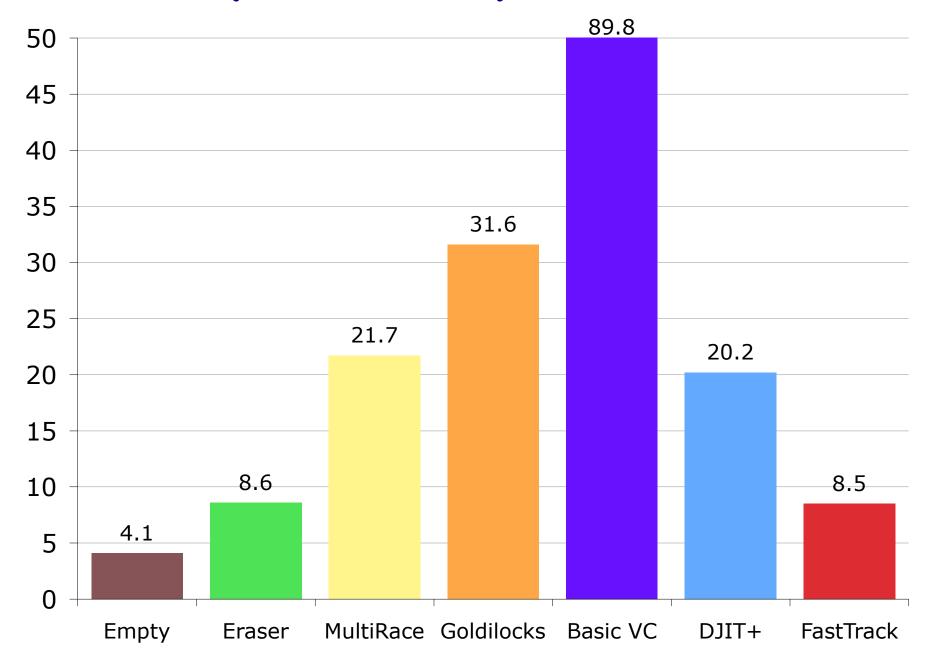








### Slowdown (x Base Time)



#### Memory Usage

FastTrack allocated ~200x fewer VCs

Checker	Memory Overhead
Basic VC, DJIT+	7.9x
FastTrack	2.8x
Empty	2.0x

(Note: VCs for dead objects are garbage collected)

- Improvements
  - accordion clocks [CB 01]
  - analysis granularity [PS 03, YRC 05]

#### Eclipse 3.4

- Scale
  - > 6,000 classes
  - 24 threads
  - custom sync. idioms



- Precision (tested 5 common tasks)
  - Eraser: ~1000 warnings
  - FastTrack: ~30 warnings
- Performance on compute-bound tasks
  - > 2x speed of other precise checkers
  - same as Eraser

#### Lecture Takeaways

- Data race: two accesses, one of which is a write, with no happens-before relation
- Data races are subtle
  - Compiler optimizations, hardware reordering make racy program behavior hard to predict
  - Better to synchronize consistently
- · Lockset analysis: intuitive, fast
  - But many false warnings
- Happens-before data race detection
  - Sound; OK speed if carefully implemented

#### Key References

- Hans-J. Boehm and Sarita V. Adve, "You Don't Know Jack About Shared Variables or Memory Models", CACM 2012.
- Leslie Lamport, "Time, Clocks, and the Ordering of Events in a Distributed System", CACM 1978.
- Martin Abadi, Cormac Flanagan, and Stephen N. Freund, "Types for Safe Locking: Static Race Detection for Java", TOPLAS 2006.
- Madanlal Musuvathi, Shaz Qadeer, Thomas Ball, Gerard Basler, Piramanayagam Arumuga Nainar, and Iulian Neamtiu, "Finding and Reproducing Heisenbugs in Concurrent Programs", OSDI 2008.
- Cormac Flanagan, K. Rustan M. Leino, Mark Lillibridge, Greg Nelson, James B. Saxe, and Raymie Stata. "Extended static checking for Java", PLDI 2002.
- S. Savage, M. Burrows, G. Nelson, P. Sobalvarro, and T. E. Anderson, "Eraser: A dynamic data race detector for multithreaded programs", TOCS 1997.

#### Key References

- Friedemann Mattern, "Virtual Time and Global States of Distributed Systems", Workshop on Parallel and Distributed Algorithms 1989.
- Yuan Yu, Tom Rodeheffer, and Wei Chen, "RaceTrack: Efficient detection of data race conditions via adaptive tracking", SOSP 2005.
- Eli Pozniansky and Assaf Schuster, "MultiRace: Efficient on-the-fly data race detection in multithreaded C++ programs", Concurrency and Computation: Practice and Experience 2007.
- Robert O'Callahan and Jong-Deok Choi, "Hybrid Dynamic Data Race Detection", PPOPP 2003.
- Cormac Flanagan and Stephen N. Freund, "FastTrack: efficient and precise dynamic race detection", CACM 2010.
- Cormac Flanagan and Stephen N. Freund, "The RoadRunner dynamic analysis framework for concurrent programs", PASTE 2010.

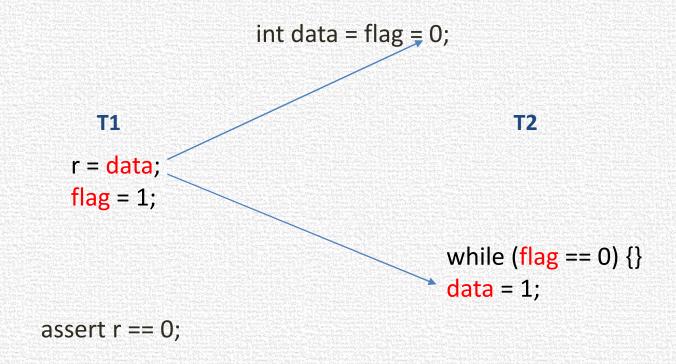
#### Key References

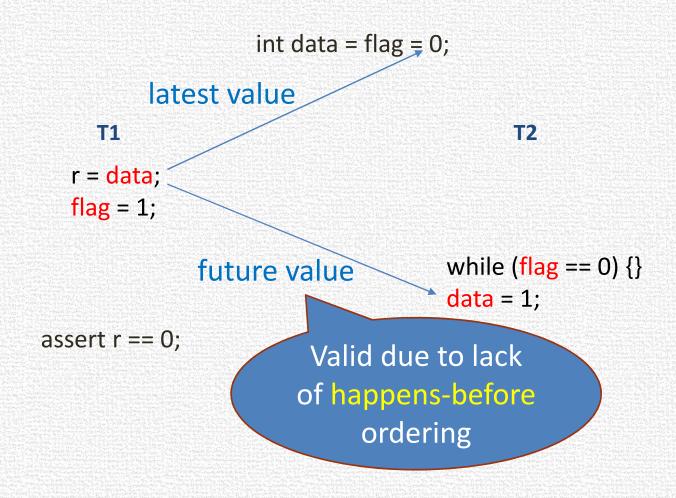
- John Erickson, Madanlal Musuvathi, Sebastian Burckhardt, Kirk Olynyk, "Effective Data-Race Detection for the Kernel", OSDI 2010.
- Madanlal Musuvathi, Sebastian Burckhardt, Pravesh Kothari, and Santosh Nagarakatte, "A Randomized Scheduler with Probabilistic Guarantees of Finding Bugs", ASPLOS 2010.
- Michael D. Bond, Katherine E. Coons, Kathryn S. McKinley, "PACER: proportional detection of data races", PLDI 2010.
- Cormac Flanagan and Stephen N. Freund, "Adversarial memory for detecting destructive races", PLDI 2010.

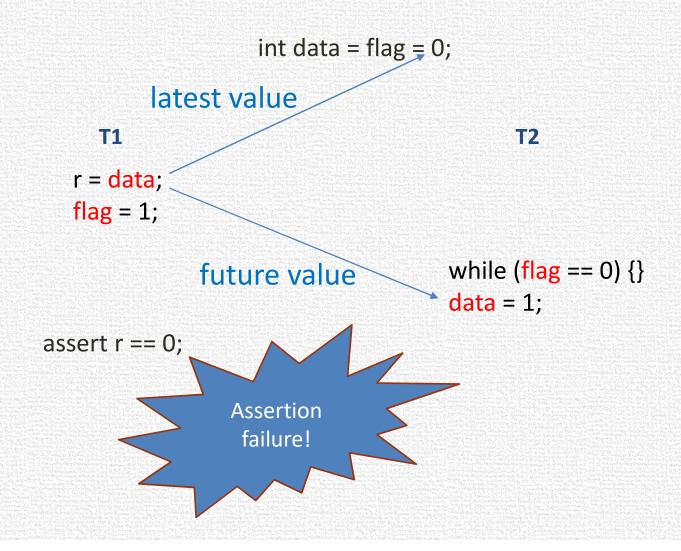
# Bonus slides on the Java Memory Model (JMM)

```
int data = flag = 0;
```

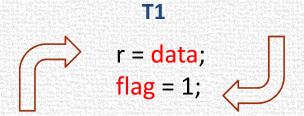
```
T1 T2  r = data; \\ flag = 1; \\ while (flag == 0) {} \\ data = 1; \\ assert r == 0; \\
```







int data = flag = 0;





```
int data = flag = 0;
```

```
T1 T2  r = data; \qquad \qquad \text{while (flag == 0) {}}   data = 1; \qquad \qquad data = 1;   assert r == 0;
```

Requires returning future value or reordering to trigger the assertion failure

# Can this assert trigger in JVMs? Do you think the JMM allows it?

int x = y = 0;

#### **T1**

r1 = x; y = r1;

#### **T2**

r2 = y; if (r2 == 1) { r3 = y; x = r3; } else x = 1;

assert r2 == 0;

int 
$$x = y = 0$$
;

```
r1 = x;
y = r1;
r2 = y;
if (r2 == 1) {
r3 = y;
x = r3;
} else x = 1;
of causality
requirements
assert r2 == 0;
```

- Ševčík and Aspinall, ECOOP, 2008

int x = y = 0;

However, in a JVM, after redundant read elimination

#### **T1**

#### T2

```
r2 = y;
if (r2 == 1) {
r3 = r2;
x = r3;
} else x = 1;
```

assert r2 == 0;

int x = y = 0;

r2 = y; if (r2 == 1) {

However, in a

JVM, after

redundant read

elimination

r3 = r2; x = r2;

x = r3;

 $}$  else x = 1;

r2 = y;

If (r2 == 1)

else x = 1;

assert r2 == 0;

**T1** 

r1 = x; y = r1;

int x = y = 0;

#### **T1**

However, in a JVM, after redundant read elimination

int x = y = 0;

However, in a JVM, after redundant read elimination

**T1** 

Assertion failure possible!

r2 = y; if (r2 == 1) {  $\Rightarrow$  r2 = y; If (r2 == 1) r3 = r2;  $\Rightarrow$  x = r2;

x = r3; else x = 1; } else x = 1;

r2 = y; assert r2 == 0; x = 1;

#### Moral: Just say no to data races

Don't try hacks based on the memory model

Unless you are as good as Doug Lea



Author of java.util.concurrent

- Or you have formalized the memory model rules in a tool
  - And even then, are the rules right?